

Solicitation Policy for the Provision of Type II Financial Instruments Business

In accordance with Article 10 of the Act on the Provision of Financial Services and Improvement of the Financial Environment, we have established the following solicitation policy for the sale of financial products, which we comply with.

1. Matters to be considered in light of the customer's knowledge, experience and financial situation

- (1) We endeavor to solicit appropriate products in light of the customer's knowledge, investment experience, investment objectives, intentions, financial situation and purpose of concluding a contract for the sale of the relevant financial products.
- (2) We strive to provide sufficient and appropriate explanations of product details and risk content, etc., in order to help our customers make appropriate investment decisions on their own.

2. Matters to be considered for customers regarding the method and time of solicitation

- (1) We give sufficient consideration to the time and method of solicitation for our customers.

3. Other matters regarding the proper securing of solicitation

- (1) We comply with laws and regulations and strive to strengthen our internal management structure to ensure that proper solicitation is carried out.
- (2) We provide training on compliance with laws and regulations to our officers and employees and strive to acquire and improve knowledge and skills to ensure proper solicitation.
- (3) We strive to respond appropriately and in good faith to inquiries received from customers.

Enacted on August 5, 2015

Revised on June 7, 2022

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